

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV. 1094)		ATTORNEY'S DOCKET NUMBER C1043/7033
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/868351
INTERNATIONAL APPLICATION NO. PCT/GB99/04150	INTERNATIONAL FILING DATE 15 December 1999 (15.12.99)	PRIORITY DATE CLAIMED 16 December 1998 (16.12.98)
TITLE OF INVENTION ORGANIC LIGHT-EMITTING DEVICES		
APPLICANT(S) FOR DO/EO/US CARTER, Julian Charles; BURROUGHES, Jeremy Henley; HEEKS, Stephen Karl		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(C)(5)). 		
Items 11. To 16. Below concern document(s) or information included:		
<ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment (with amended claims). <ol style="list-style-type: none"> <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification (submitted as a first Preliminary Amendment). 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input checked="" type="checkbox"/> Other items or information: Mailed via Express Mailing Label No. EL711250255US Published Application with Search Report Post Card 		
Mailing Date: June 15, 2001 Express Mail Label No. EL711250255US		

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09/868351				CALCULATIONS <small>PTO USE ONLY</small>
17. X The following fees are submitted:				
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):				
Search Report has been prepared by the EPO or JPO \$860.00				
International preliminary examination fee paid to USPTO (37 CFR 1.482) \$690.00				
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).. \$760.00				
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$1000.00				
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$100.00				
ENTER APPROPRIATE BASIC FEE AMOUNT =				
\$860.00				
Surcharge of \$130.00 for furnishing the oath or declaration later than $\frac{1}{2}$ 20 X 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				
\$				
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	58 - 20 =	38	X \$18.00	\$ 324.00
Independent Claims	12 - 3 =	9	X \$80.00	\$ 720.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				
TOTAL OF ABOVE CALCULATIONS =				
\$1904.00				
Reduction by $\frac{1}{2}$ for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				
SUBTOTAL =				
\$1904.00				
Processing fee of \$130.00 for furnishing the English translation later than $\frac{1}{2}$ 20 $\frac{1}{2}$ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				
TOTAL NATIONAL FEE =				
\$1904.00				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate coversheet (37 CFR 3.28, 3.31). \$40.00 per property +				
TOTAL FEES ENCLOSED =				
\$1904.00				
Amount to be: refunded \$ charged \$				
a. X A check in the amount of \$1904.00 to cover the above fees is enclosed.				
b. Please charge by Deposit Account No. _____ In the amount of \$ _____ To cover the above fees. A duplicate copy of this sheet is enclosed.				
c. X The commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.				
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.				
 <u>Therese A. Hendricks</u>				
SEND ALL CORRESPONDENCE TO:				
Therese A. Hendricks WOLF, GREENFIELD & SACKS, P.C. 600 Atlantic Avenue Boston, Massachusetts 02210				
Therese A. Hendricks NAME				
30,389 REGISTRATION NO.				
CUSTOMER NO. 23628				